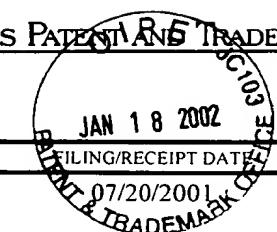




UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,691	07/20/2001	Ping Gao	28341/00222.US1

04743
MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN
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233 SOUTH WACKER DRIVE
CHICAGO, IL 60606-6402

CONFIRMATION NO. 9971

FORMALITIES LETTER



OC00000006548548

*COPY OF PAPERS
ORIGINALLY FILED*

Date Mailed: 09/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. **Docketed: 11/13/01**
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

FSAYSON

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



SEARCHED
PATENT

IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

Applicants: Gao et al.

) I hereby certify that this paper (or fee) is
) being deposited with the United States
) Postal Service, first class postage
) prepaid, addressed to: Commissioner
) for Patents, Washington, DC 20231

Serial No.: 09/909,691

) November 13, 2001

Filed: July 20, 2001

[Signature]
James P. Zeller

Title: SELF-EMULSIFYING
DRUG DELIVERY
SYSTEMS FOR
EXTREMELY WATER-
INSOLUBLE, LIPOPHILIC
DRUGS

Reg. No. 28,491

Group Art Unit: 1615

Examiner: Not yet assigned

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ORIGINALLY FILED*

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION

Commissioner for Patents
Washington, D.C. 20231

Sir:

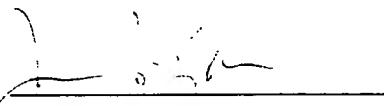
This paper is in response to the "Notice to file Missing Parts of
Nonprovisional Application" dated September 13, 2001. A copy of the notice is
attached.

Submitted herewith is the executed "Declaration for Patent Application and Power of Attorney" along with our check in the amount of \$130.00 to cover the surcharge.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN

November 13, 2001

By: 

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